



Stanford University

Office of Sponsored Research

SUBRECIPIENT STATEMENT OF COLLABORATIVE INTENT

Page 1 - to be completed by all subrecipients

Page 2 - to be completed by all subrecipients without an FDP Clearinghouse profile

Stanford University requires completion of the Subrecipient Statement of Collaborative Intent for all proposed subrecipients at the time of proposal submission to the prime sponsor. This form represents your organizations' intent to collaborate with Stanford University as part of a sponsored research project.

As you complete the form, note the following:



Do not complete this form on your browser. Download the file to your desktop before starting.



After you have attached documents to the PDF, you can view, delete or save them by clicking the paperclip icon on the left side navigation of the PDF;



Use the Save icon to save the PDF as a fillable file. If you "print to PDF" or scan a hard copy of the form, any attached documents will no longer be included in the document. If you do print or scan the form, please insert the documents into the PDF rather than attaching; and



Stanford University prefers electronic signatures. If you need to print a hard copy to sign, please upload a scanned copy of the signature page to this file where indicated.



SUBRECIPIENT STATEMENT OF COLLABORATIVE INTENT

| SUBRECIPIENT | |
|--------------------------|-------------|
| FDP Member Legal Name | DUNS Number |
| | |
| | |

| PROJECT | |
|-------------------------------------|--|
| Stanford Principal Investigator | Address where research will be performed Same as legal address |
| Subrecipient Principal Investigator | |
| Subrecipient Project Period | |
| Prime Sponsor | Zip+4 Work will be performed On Campus Congressional District Off Campus |
| Amount Requested | Subrecipient Cost Share Cost Share Amount |
| Project Title | |

| PROPOSAL COMPONENTS | |
|--|--|
| The following documents are included in the subrecipient proposal submission | |
| Required Components | As applicable per sponsor requirements |
| Statement of Work | Key Personnel Biosketches |
| Detailed Budget | Current & Pending Support |
| Budget Justification | Other |

COMPLIANCE INFORMATION

SUBRECIPIENT CLASSIFICATION

| Subrecipient | Vendor |
|--|---|
| <ul style="list-style-type: none"> - Will be responsible for significant programmatic decision-making - Does not provide this service to other customers within normal business operations - Must adhere to any Prime Sponsor compliance requirements - Will own anything developed by the Subrecipient organization | <ul style="list-style-type: none"> - Provides goods and services within normal business operations - Provides similar goods or services to other customers - Provides goods or services that are ancillary to Stanford's sponsored project - Is not subject to compliance requirements of the Prime Sponsor - Stanford will own anything developed |

Yes No Based on the table above, the statement of work correctly classifies your involvement as a subrecipient.

HUMAN OR VERTIBRATE ANIMAL SUBJECTS

Subrecipient scope of work includes:

| | | |
|------------------|----------------|---------|
| Human Subjects | Approval Date: | Pending |
| Human Stem Cells | Approval Date: | Pending |
| Animal Subjects | Approval Date: | Pending |

Subrecipient's IRB and/or IACUC approval must be provided to Stanford's Office of Sponsored Research when available. Stanford's compliance panel must review the subaward work and issue a companion approval before a subaward will be executed.

This proposal has been reviewed and approved, and the information (including attachments), certifications (if applicable), and representations in this document have been made by an authorized official of the Subrecipient named, attesting to its accuracy and completeness. The appropriate programmatic and administrative personnel of each institution involved in this grant application are aware of the awarding agency's policies, intend to accept the obligation to comply with award terms, conditions and certifications, and are prepared to establish the necessary inter-institutional agreement consistent with that policy. Any work begun and/or expenses incurred prior to execution of a Subaward agreement are at the Subrecipient's own risk.

| | |
|-----------------------------------|---|
| Contact for Agreement Negotiation | Name and Title of Subrecipient Authorized Official |
| Phone Email | Signature of Subrecipient Authorized Official Date |



This section must be completed by all Subrecipients without an FDP Clearinghouse profile

RATE INFORMATION

FACILITIES AND ADMINISTRATIVE RATES

We have applied our federally-negotiated F&A rates. Our negotiated rate agreement is:

Attached

Available At:

We do not have a federally negotiated rate and have:

Requested to negotiate a rate with Stanford

Elected the 10% de minimus rate (*this rate will apply to all subsequent subawards to your institution until you elect to negotiate an F&A rate*)

We have applied other rates as required by the prime sponsor policies/guidelines.

FRINGE BENEFIT RATES

We have applied our rates consistent with or lower than our federally-negotiated rates. Our negotiated rate agreement is:

Attached

Available At:

We do not have a federally-negotiated rate and have applied actual fringe benefits (specify the benefit categories below).

We have applied other rates (specify the basis on which rates have been calculated, including elements used in calculation, below).

CERTIFICATIONS

FINANCIAL CONFLICT OF INTEREST (FCOI) Select one:

Not applicable because this project is not being funded by PHS (NIH, CDC, AHRQ, etc.), or any other sponsor that has adopted the federal financial disclosure requirements.

Subrecipient Organization/Institution certifies that it has an active and enforced conflict of interest policy that is consistent with the provision of 42 CFR Part 50, Subpart F "Responsibility of Applicants for Promoting Objectivity in Research" and 45 CFR Part 94 "Responsible Prospective Contractors." Subrecipient also certifies that, to the best of Institution's knowledge, (1) all financial disclosures will be made related to the activities that may be funded by or through a resulting agreement, and required by its conflict of interest policy, and (2) all identified conflicts of interest have or will have been satisfactorily managed, reduced or eliminated in accordance with subrecipient's conflict of interest policy prior to the expenditures of any funds under any resultant agreement and within a timely manner sufficient to enable timely FCOI reporting.

Subrecipient does not have an active and/or enforced COI policy, but will have a PHS compliant policy in place and published at the time of award.

By signing, Subrecipient certifies that the required training will be completed by each investigator prior to engaging in any research related to any PHS funded contract/grant.

DEBARMENT AND SUSPENSION

By signing, Subrecipient certifies that:

- Subrecipient, the PI or any other employee or student participating in this project are not debarred, suspended, proposed for debarment, declared ineligible, or otherwise excluded from or ineligible for participation in federal assistance programs, federal contracts, or activities.
- Subrecipient, the PI or any other employee or student participating in this project are not presently indicted for, or otherwise criminally or civilly charged by a government entity.
- Subrecipient has not within three (3) years preceding this offer, been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property.
- Subrecipient has not within three (3) years preceding this offer, had any contract terminated for default by any federal agency.

*** If Subrecipient cannot certify to any of the above, explain below**

COMMENTS